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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>		Application Number	09/581,897
		Filing Date	October 2, 2000
		First Named Inventor	Jinglin LI et al.
		Group Art Unit	1626
		Examiner Name	E. Sackey
		Attorney Docket Number	61765.00023 (3163/1/US)
Sheet	1	of	1

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature	<i>Cherap Backey</i>	Date Considered	1/6/03
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Sheet 1 of 1

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Group Art Unit	1626
Examiner Name	E. Sackey
Attorney Docket Number	061765.00023

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
ES	AA	5,559,017		Patel et al.	09/24/96	
	AB					

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Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
ES	AC	CA	2068231	A1	Giordano et al.	11/24/92		
ES	AD	JP	59144777.		Noriaki	08/18/84		

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
ES	AE	Davis et al., "Chemistry of Oxaziridines," <i>J. Am. Chem. Soc.</i> , 1992, 114(4), 1428-1437.	
	AF	Palucki et al., "Asymmetric Oxidation of Sulfides with H <sub>2</sub> O <sub>2</sub> Catalyzed by (salen)Mn(III) Complexes, <i>Tetrahedron Letters</i> , 1992, 33(47), 7111-7114.	
	AG	Sasaki et al., "Preparation and Characterization of Optically Active Quadridentate Schiff Base-Titanium(IV) Complexes . . .," <i>Bull. Chem. Soc. Japan</i> , 1991, 64, 1318-1324.	
	AH	Silverman, R.B., "The Organic Chemistry of Drug Design and Drug Action," <i>Academic Press</i> , 1992, pp. 76-82.	
	AI	Stredonsky, E.R., "Interaction of Bile acids and cholesterol with nonsystemic agents having hypocholesterolemic properties," <i>Biochimica et Biophysica Acta</i> , 1210 (1994) 255-287.	
	AJ	Yamada et al., "Asymmetric Reduction of a 1,5-Benzothiazepine Derivative with Sodium Borohydride . . .," <i>J. Org. Chem.</i> 1995, 61 (24) 8586-8590.	
ES	AK	Zhao et al., "Asymmetric Oxidation of Sulfides Mediated by Chiral Titanium Complexes: Mechanistic and Synthetic Aspects," <i>Tetrahedron</i> , 1987, 43(21), 5135-5144.	

Examiner Signature	<i>E. Sackey</i>	Date Considered	1/6/03
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